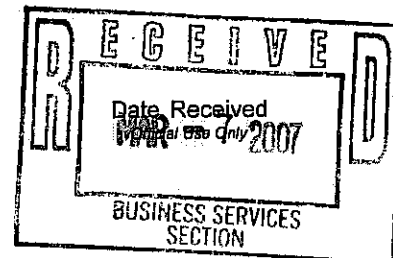


STATEMENT OF ECONOMIC INTERESTS
COVER PAGE



A Public Document

Please type or print in ink

NAME (LAST)	(FIRST)	(MIDDLE)	DAYTIME TELEPHONE NUMBER
Borucki	Joan	Marie	(916) 323-0403
MAILING ADDRESS STREET (May use business address)	CITY	STATE ZIP CODE	OPTIONAL: FAX / E-MAIL ADDRESS
600 North Tenth Street	Sacramento	CA 95814	jborucki@calottery.com

1. Office, Agency, or Court

Name of Office, Agency, or Court:

California State Lottery

Division, Board, District, if applicable:

Your Position:

Director

⇒ If filing for multiple positions, list additional agency(ies)/ position(s): (Attach a separate sheet if necessary.)

Agency: _____

Position: _____

2. Jurisdiction of Office (Check at least one box)

☒ State

☐ County of _____

☐ City of _____

☐ Multi-County _____

☐ Other _____

3. Type of Statement (Check at least one box)

☒ Assuming Office/Initial Date: 02 / 15 / 07

☐ Annual: The period covered is January 1, 2006, through December 31, 2006.

-or-

☐ The period covered is ____/____/____, through December 31, 2006.

☐ Leaving Office Date Left: ____/____/____
(Check one)

☐ The period covered is January 1, 2006, through the date of leaving office.

-or-

☐ The period covered is ____/____/____, through the date of leaving office.

☐ Candidate

4. Schedule Summary

⇒ Total number of pages including this cover page: 39

⇒ Check applicable schedules or "No reportable interests."

I have disclosed interests on one or more of the attached schedules:

Schedule A-1 ☒ Yes – schedule attached
Investments (Less than 10% Ownership)

Schedule A-2 ☐ Yes – schedule attached
Investments (10% or greater Ownership)

Schedule B ☐ Yes – schedule attached
Real Property

Schedule C ☒ Yes – schedule attached
Income, Loans, & Business Positions (Income Other than Gifts and Travel Payments)

Schedule D ☐ Yes – schedule attached
Income – Gifts

Schedule E ☐ Yes – schedule attached
Income – Travel Payments

-or-

☐ No reportable interests on any schedule

5. Verification

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed March 12, 2007
(month, day, year)

Signature Joan M Borucki
(File the originally signed statement with your filing official.)

SCHEDULE A-1
Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION
Name Joan Borucki

> NAME OF BUSINESS ENTITY
Anglo American PLC ADR
GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Mining
FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000
NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)
IF APPLICABLE, LIST DATE:
3/6/06 11/17/06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Apollo Gold Corp.
GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Mining
FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000
NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)
IF APPLICABLE, LIST DATE:
1/4/06 9/14/06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
American Capital Strategies LTD
GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Investment
FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000
NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)
IF APPLICABLE, LIST DATE:
8/17/06 1/1/06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Anthracite Cap Inc.
GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Real Estate Investments
FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000
NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)
IF APPLICABLE, LIST DATE:
5/25/06 11/8/06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Atherogenics Inc.
GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Pharmaceutical
FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000
NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)
IF APPLICABLE, LIST DATE:
12/18/06 1/1/06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Air Methods Com
GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Medical Transportation Services
FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000
NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)
IF APPLICABLE, LIST DATE:
3/30/06 11/02/06
ACQUIRED DISPOSED

Comments:

SCHEDULE A-1
Investments

Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**

FAIR POLITICAL PRACTICES COMMISSION

Name
Joan Borucki

NAME OF BUSINESS ENTITY
Airspan Networks Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Wireless Communications

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
3, 30, 06 11, 15, 06
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Alexco Resource Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Mining

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
8, 10, 06 9, 14, 06
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Arbitrage Fund

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Mutual Fund

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock
☒ Other mutual fund
(Describe)

IF APPLICABLE, LIST DATE:
5, 30, 06 6, 12, 06
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Aurizon Mine LTD

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Mining

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
1, 4, 06 9, 14, 06
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Arena Pharmaceuticals Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Pharmaceuticals

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
8, 4, 06 11, 27, 06
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Banro Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Mining

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
9, 22, 06 11, 07, 06
ACQUIRED DISPOSED

Comments:

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**

FAIR POLITICAL PRACTICES COMMISSION

Name

Joan Borucki

> NAME OF BUSINESS ENTITY
Basic Energy Services

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Oil & Gas Equipment & Services

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
5/30/06 6/12/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Boston Scientific Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Medical Instruments & Supplies

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
4/17/06 6/28/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Brigham Exploration Co.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Oil

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
10/16/06 11/16/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Career Education

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Education & Training

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
10/23/06 11/15/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Syntax Brilliant Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
High Definition Televisions

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
9/11/06 9/20/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Century Aluminum

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Aluminum Products

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
8/21/06 11/17/06
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1
Investments

Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**

FAIR POLITICAL PRACTICES COMMISSION

Name

Joan Borucki

> NAME OF BUSINESS ENTITY
Canadian Natural Resources LTD

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Mining

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
6/28/06 11/17/06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
China Yuchai International LTD

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Diversified Machinery

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
3/27/06 5/23/06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Credo Petroleum Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Oil

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
4/10/06 5/23/06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Directed Electronics

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Electronics

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
9/5/06 10/6/06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Covenant Transport Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Trucking

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
6/19/06 7/17/06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Dell Inc

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Computer Systems

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
7/6/06 10/30/06
ACQUIRED DISPOSED

Comments:

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**

FAIR POLITICAL PRACTICES COMMISSION

Name

Joan Borucki

> NAME OF BUSINESS ENTITY
Drd Gold LTD

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Mining

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
 (Describe)

IF APPLICABLE, LIST DATE:
1, 5, 06 8, 17, 06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
ITT Educational Services Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Education & Training Services

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
 (Describe)

IF APPLICABLE, LIST DATE:
5, 25, 06 12, 18, 06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
EBAY Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Online Market Place

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
 (Describe)

IF APPLICABLE, LIST DATE:
9, 5, 06 11, 27, 06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Excel Maritime Carriers LTD

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Shipping

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
 (Describe)

IF APPLICABLE, LIST DATE:
6, 26, 06 7, 28, 06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Elron Electr. Inds. LTD

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Information Technology Services

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
 (Describe)

IF APPLICABLE, LIST DATE:
5, 23, 06 10, 6, 06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Freeport McMoran Copper & Gold

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Mining

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
 (Describe)

IF APPLICABLE, LIST DATE:
5, 22, 06 11, 17, 06
 ACQUIRED DISPOSED

Comments:

SCHEDULE A-1**Investments****Stocks, Bonds, and Other Interests**

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**

FAIR POLITICAL PRACTICES COMMISSION

Name

Joan Borucki

> NAME OF BUSINESS ENTITY

Fortunet Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Gaming Software

FAIR MARKET VALUE

☒ \$2,000 - \$10,000☐ \$10,001 - \$100,000☐ \$100,001 - \$1,000,000☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

5/25/06

ACQUIRED

11/15/06

DISPOSED

> NAME OF BUSINESS ENTITY

Far West Mining LTD

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Mining

FAIR MARKET VALUE

☒ \$2,000 - \$10,000☐ \$10,001 - \$100,000☐ \$100,001 - \$1,000,000☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

8/10/06

ACQUIRED

9/15/06

DISPOSED

> NAME OF BUSINESS ENTITY

Global Industries LTD

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Heavy Construction

FAIR MARKET VALUE

☒ \$2,000 - \$10,000☐ \$10,001 - \$100,000☐ \$100,001 - \$1,000,000☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

5/30/06

ACQUIRED

6/12/06

DISPOSED

> NAME OF BUSINESS ENTITY

Glencairn Gold Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Mining

FAIR MARKET VALUE

☒ \$2,000 - \$10,000☐ \$10,001 - \$100,000☐ \$100,001 - \$1,000,000☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

1/6/06

ACQUIRED

9/14/06

DISPOSED

> NAME OF BUSINESS ENTITY

Gold Reserve Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Mining

FAIR MARKET VALUE

☒ \$2,000 - \$10,000☐ \$10,001 - \$100,000☐ \$100,001 - \$1,000,000☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

1/4/06

ACQUIRED

6/7/06

DISPOSED

> NAME OF BUSINESS ENTITY

HMS Holdings Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Business Services

FAIR MARKET VALUE

☒ \$2,000 - \$10,000☐ \$10,001 - \$100,000☐ \$100,001 - \$1,000,000☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

5/23/06

ACQUIRED

6/20/06

DISPOSED

Comments:

SCHEDULE A-1**Investments****Stocks, Bonds, and Other Interests**

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**

FAIR POLITICAL PRACTICES COMMISSION

Name

Joan Borucki

> NAME OF BUSINESS ENTITY

Hughes Communication Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Communication Services

FAIR MARKET VALUE

☒ \$2,000 - \$10,000☐ \$10,001 - \$100,000☐ \$100,001 - \$1,000,000☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

2/21/06

ACQUIRED

8/9/06

DISPOSED

> NAME OF BUSINESS ENTITY

Indevis Pharmaceuticals Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Pharmaceuticals

FAIR MARKET VALUE

☒ \$2,000 - \$10,000☐ \$10,001 - \$100,000☐ \$100,001 - \$1,000,000☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

8/14/06

ACQUIRED

9/6/06

DISPOSED

> NAME OF BUSINESS ENTITY

Insituform Technology

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Heavy Construction

FAIR MARKET VALUE

☒ \$2,000 - \$10,000☐ \$10,001 - \$100,000☐ \$100,001 - \$1,000,000☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

10/6/06

ACQUIRED

11/27/06

DISPOSED

> NAME OF BUSINESS ENTITY

Intel Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Semiconductor Chips

FAIR MARKET VALUE

☒ \$2,000 - \$10,000☐ \$10,001 - \$100,000☐ \$100,001 - \$1,000,000☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

4/10/06

ACQUIRED

11/27/06

DISPOSED

> NAME OF BUSINESS ENTITY

St. Joe Co.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Real Estate

FAIR MARKET VALUE

☒ \$2,000 - \$10,000☐ \$10,001 - \$100,000☐ \$100,001 - \$1,000,000☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

6/26/06

ACQUIRED

11/27/06

DISPOSED

> NAME OF BUSINESS ENTITY

JP Morgan Chase & Co.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Financial Services

FAIR MARKET VALUE

☒ \$2,000 - \$10,000☐ \$10,001 - \$100,000☐ \$100,001 - \$1,000,000☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock☐ Other

(Describe)

IF APPLICABLE, LIST DATE:

3/27/06

ACQUIRED

12/1/06

DISPOSED

Comments:

SCHEDULE A-1**Investments****Stocks, Bonds, and Other Interests**

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**

FAIR POLITICAL PRACTICES COMMISSION

Name

Joan Bonucki

> NAME OF BUSINESS ENTITY
Journal Register Co.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Publishing

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
3/17/06 5/24/06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Kopin Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Diversified Electronics

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
3/30/06 5/16/06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
James River Coal Co.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Mining

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
10/6/06 11/13/06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Crystallex International Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Mining

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
6/7/06 6/14/06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
4 Kids Entertainment

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Diversified Entertainment & Media

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
6/5/06 8/10/06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Ladbrokes PLC

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Online Gambling

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
4/20/06 5/5/06
ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1**Investments****Stocks, Bonds, and Other Interests**

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**

FAIR POLITICAL PRACTICES COMMISSION

Name

Joan Borucki

> NAME OF BUSINESS ENTITY
Lumera Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Diversified Electronics

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
3/30/06 5/24/06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
MGP Ingredients Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Processed & Packaged Goods

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
4/24/06 6/21/06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Cheniere Energy Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Natural Gas

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
3/30/06 5/24/06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Metallica Resources Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Mining

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
1/4/06 9/14/06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Lexmark International Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Computer Printers

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
3/17/06 8/17/06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Microvision Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Diversified Electronics

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
3/30/06 5/24/06
ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1**Investments****Stocks, Bonds, and Other Interests**

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**

FAIR POLITICAL PRACTICES COMMISSION

Name

Joan Borucki

> NAME OF BUSINESS ENTITY
Northern Dynasty Minerals LTD

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Mining

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
4/24/06 10/6/06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Nokia Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Electronics

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
8/11/06 11/28/06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Nanophase Technology

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Industrial Metal & Minerals

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
3/27/06 11/27/06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Northern Orion Resources Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Mining

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
6/22/06 9/14/06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
NL Industries Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Synthetics

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
10/6/06 11/24/06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Nuance Communications Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Application Software

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
3/30/06 5/24/06
ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1 Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION Name <u>Joan Borucki</u>

> NAME OF BUSINESS ENTITY
Optical Communications Products Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Semiconductor & Integrated Circuits

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
11/6/06 11/17/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Pmc Sierra Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Semiconductor & Integrated Circuits

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
10/30/06 11/16/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Oakley Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Sporting Goods

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
5/18/06 5/24/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Pinnacle AIRLINES Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Transportation

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
5/30/06 8/1/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Pike Electronics Corp

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Electronics

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
10/26/06 11/20/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Plains Exploration and Production Co.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
oil & Gas

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
5/30/06 6/14/06
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

Joan Borucki

> NAME OF BUSINESS ENTITY
PXRE Group LTD

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Insurance

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
2/21/06 4/10/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
APEX Silver Mines

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Mining

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
8/4/06 8/16/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Excel Coal LTD

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Mining

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
6/12/06 10/31/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Sino-Forest Corp

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Timber

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
6/12/06 8/17/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Queenstake Resources LTD

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Mining

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
1/4/06 6/7/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Sasol LTD

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Oil & Gas Refining

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
8/4/06 11/16/06
 ACQUIRED DISPOSED

Comments:

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION Name <u>Joan Borucki</u>
--

> NAME OF BUSINESS ENTITY
Sinovac Biotech LTD

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Biotechnology

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
4/28/06 5/23/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Symantec Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Internet Software

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
4/17/06 12/6/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Silverleaf Resorts

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Lodging

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
6/12/06 7/17/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Synaptics Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Internet Software Info. Technology

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
11/8/06 3/21/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Smith & Wesson Hldg. Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Firearm Mfg.

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
3/30/06 6/8/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Harris & Harris Grp. Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Venture Capital

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
8/30/06 11/27/06
 ACQUIRED DISPOSED

Comments:

SCHEDULE A-1**Investments****Stocks, Bonds, and Other Interests**

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**

FAIR POLITICAL PRACTICES COMMISSION

Name

Joan Borucki

NAME OF BUSINESS ENTITY
Telstra Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Telecommunications

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
2,23,06 8,17,06
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Varian Medical Systems

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Medical Appliances & Equipment

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
8,18,06 11,24,06
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Turnbloom Communications Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Internet Software

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
4,24,06 5,30,06
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Verisign Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Internet Software

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
4,17,06 8,07,06
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
US Gold Corp

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Mining

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
4,24,06 9,14,06
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
QIAO XING Universal Telephone Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Telecommunications

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
3,27,06 5,24,06
ACQUIRED DISPOSED

Comments:

SCHEDULE A-1**Investments****Stocks, Bonds, and Other Interests**

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**

FAIR POLITICAL PRACTICES COMMISSION

Name

Joan Borucki

NAME OF BUSINESS ENTITY
Ym Biosciences Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Biotechnology

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
8/28/06 11/16/06
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Yanzhou Coal Mining

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Mining

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
4/10/06 5/25/06
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Zhone Technologies Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Communication Equip.

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
5/25/06 6/12/06
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Akamai Technologies Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Internet Software

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
11/8/05 5/24/06
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Alnylam Pharmaceuticals Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Pharmaceuticals

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
12/19/05 7/11/06
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Bio-Reference Lab Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Biotechnology

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
11/10/05 2/6/06
ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1
Investments

Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**

FAIR POLITICAL PRACTICES COMMISSION

Name

Joan Borucki

> NAME OF BUSINESS ENTITY
DRS Technologies

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Electronics

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
1/1/06 8/7/06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Universal Display

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Computer Peripherals

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
11/21/0805 4/24/06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Elan Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Biotechnology

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
1/1/06 11/6/06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Plum Creek Timber Co.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Property Management

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
1/1/06 6/28/06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
JDA Software Group Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Business Software

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
1/1/06 5/31/06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Cresud

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Farm Products

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
6/5/06 8/24/06
ACQUIRED DISPOSED

Comments:

SCHEDULE A-1
Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**
FAIR POLITICAL PRACTICES COMMISSION

Name Joan Borucki

> NAME OF BUSINESS ENTITY
Proquest Co.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Publishing

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
1/1/06 3/2/06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Rayonier Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Timberland Management

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
1/1/06 5/19/06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Qiagen

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Biotechnology

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
12/19/2005 4/24/06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Sigmatel Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Semiconductors

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
12/05/2005 1/18/06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Radio Shack Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Electronic Goods

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
1/1/06 2/21/06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Skyterra Communications

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Telecommunications

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
11/8/2005 2/21/06
ACQUIRED DISPOSED

Comments:

SCHEDULE A-1
Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**

FAIR POLITICAL PRACTICES COMMISSION

Name

Joan Borucki

NAME OF BUSINESS ENTITY
Timberwest Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Timberland Management

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
11/30/05 11/1/06
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Brookfield Asset Management Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Property Management

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
5/30/06 1/1/06
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Broadcasting

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
xm satellite Radio Inc.

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
10/18/05 2/6/06
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Anheuser Busch Co.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Brewing

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
3/9/06 1/1/06
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Antigenics Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Biotechnology

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
1/1/06 3/30/06
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Breakwater Resources LTD

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Mining

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
6/22/06 1/1/06
ACQUIRED DISPOSED

Comments:

SCHEDULE A-1
Investments

Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**

FAIR POLITICAL PRACTICES COMMISSION

Name

Joan Borucki

> NAME OF BUSINESS ENTITY
Cheung Kong Hldgs LTD

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Property Development

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
3, 27, 06 1, 1, 06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
DR Horton

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Home Builder

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
10, 23, 06 1, 1, 06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Cumberland Resources LTD

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Mining

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
6, 5, 06 1, 1, 06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Enterprise Products

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Oil & Gas Services

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
5, 30, 06 1, 1, 06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Cruce

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Biotechnology

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
12, 1, 06 1, 1, 06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Esperanza Silver

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Mining

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
11, 28, 06 1, 1, 06
ACQUIRED DISPOSED

Comments:

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION Name <u>Joan Borucki</u>

> NAME OF BUSINESS ENTITY
Etruscan Resources

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Mining

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
11/28/06 1/1/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
H & Q Life Sciences

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Investment Management

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
10/2/06 1/1/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Flir Systems Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Scientific & Technical Instruments

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
5/25/06 1/1/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
International Coal Group

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Industrial Metals & Minerals

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
12/18/06 1/1/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Hugoton

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Diversified Investments

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
5/15/06 1/1/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Johnson & Johnson

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Pharmaceuticals

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
8/17/06 1/1/06
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1**Investments****Stocks, Bonds, and Other Interests**

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**
FAIR POLITICAL PRACTICES COMMISSIONName Joan Borucki

> NAME OF BUSINESS ENTITY
KHD Humboldt International LTD

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Engineering Services

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
5/30/06 1/06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Coca Cola Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Soft Drink Beverages

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
5/25/06 1/06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
McG Capital Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Investment Firm

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
5/25/06 1/06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Microsoft Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Software

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
8/21/06 1/06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Northgate Minerals

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Mining

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
11/28/06 1/06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Progenics Pharmaceuticals

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Pharmaceuticals

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
11/6/06 1/06
ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1**Investments****Stocks, Bonds, and Other Interests**

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION
Name <u>Joan Borucki</u>

> NAME OF BUSINESS ENTITY
Pozen Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Pharmaceuticals

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
9/22/06 / /06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Samsung Electronics

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Electronics

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
1/24/06 / /06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Royal Gold

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Mining

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
8/4/06 / /06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Silver Standard Resources

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Mining

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
11/28/06 / /06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Sigma Aldrich Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Biochemicals

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
9/27/06 / /06
ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
24/7 Real Media Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Internet Software

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
4/19/06 5/25/06
ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1**Investments****Stocks, Bonds, and Other Interests**

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**
FAIR POLITICAL PRACTICES COMMISSIONName Joan Borucki

NAME OF BUSINESS ENTITY
USG Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Mining

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
12/4/06 1/1/06
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Vista Gold Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Mining

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
11/28/06 1/1/06
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Van Kampen American Capital

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Investment Management

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
2/23/06 1/1/06
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Verizon Communications

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Telecommunications

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
8/18/06 1/1/06
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Williams Sonoma

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Home furnishings Retailer

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
10/23/06 1/1/06
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Encana Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Oil & Gas Exploration

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
5/30/06 2/20/07
ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1**Investments****Stocks, Bonds, and Other Interests**

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**

FAIR POLITICAL PRACTICES COMMISSION

Name

Joan Borucki

> NAME OF BUSINESS ENTITY

Zinifex LTD

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Mining

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock☐ Other _____

(Describe)

IF APPLICABLE, LIST DATE:

9, 26, 06

ACQUIRED

2, 08, 07

DISPOSED

> NAME OF BUSINESS ENTITY

American Capital Strategies

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Investment Management

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock☐ Other _____

(Describe)

IF APPLICABLE, LIST DATE:

8, 17, 06

ACQUIRED

1, 06

DISPOSED

> NAME OF BUSINESS ENTITY

BHP Billiton LTD

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Industrial Metals & Minerals

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock☐ Other _____

(Describe)

IF APPLICABLE, LIST DATE:

2, 20, 06

ACQUIRED

1, 06

DISPOSED

> NAME OF BUSINESS ENTITY

Benitec LTD

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Biotechnology

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock☐ Other _____

(Describe)

IF APPLICABLE, LIST DATE:

12, 19, 05

ACQUIRED

1, 06

DISPOSED

> NAME OF BUSINESS ENTITY

Amerigo Resources LTD

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Mining

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock☐ Other _____

(Describe)

IF APPLICABLE, LIST DATE:

1, 05, 06

ACQUIRED

1, 06

DISPOSED

> NAME OF BUSINESS ENTITY

Coer D Alene Mines Corp

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Mining

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock☐ Other _____

(Describe)

IF APPLICABLE, LIST DATE:

2, 14, 06

ACQUIRED

1, 06

DISPOSED

Comments: _____

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION Name <u>Joan Borucki</u>

> NAME OF BUSINESS ENTITY
Handelman Co.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
CD, DVD Distributor

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
1/24/06 / /06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Kopin Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Diversified Electronics

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
1/12/06 / /06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Headwaters Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Building Materials

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
1/05/06 / /06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
McDonalds Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Fast Food Restaurant

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
1/22/06 / /06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Idenix Pharmaceuticals Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Pharmaceuticals

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
2/8/06 / /06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Motorola Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Communications Equipment

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
2/14/06 / /06
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1
Investments
Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION Name <u>Joan Borucki</u>

> NAME OF BUSINESS ENTITY <u>Quest Capital Corp</u>	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY <u>Financial Services</u>	
FAIR MARKET VALUE <input checked="" type="checkbox"/> \$2,000 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000	
NATURE OF INVESTMENT <input checked="" type="checkbox"/> Stock <input type="checkbox"/> Other _____ (Describe)	
IF APPLICABLE, LIST DATE: <u>2, 21, 06</u> <u> / / 06</u> ACQUIRED DISPOSED	

> NAME OF BUSINESS ENTITY <u>Sanoco</u>	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY <u>Oil Refinery</u>	
FAIR MARKET VALUE <input checked="" type="checkbox"/> \$2,000 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000	
NATURE OF INVESTMENT <input checked="" type="checkbox"/> Stock <input type="checkbox"/> Other _____ (Describe)	
IF APPLICABLE, LIST DATE: <u>1, 11, 06</u> <u> / / 06</u> ACQUIRED DISPOSED	

> NAME OF BUSINESS ENTITY <u>Red Robin Gourmet Burgers Inc.</u>	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY <u>Restaurant Services</u>	
FAIR MARKET VALUE <input checked="" type="checkbox"/> \$2,000 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000	
NATURE OF INVESTMENT <input checked="" type="checkbox"/> Stock <input type="checkbox"/> Other _____ (Describe)	
IF APPLICABLE, LIST DATE: <u>1, 22, 06</u> <u> / / 06</u> ACQUIRED DISPOSED	

> NAME OF BUSINESS ENTITY <u>Harris & Harris Group Inc.</u>	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY <u>Venture Capital Investments</u>	
FAIR MARKET VALUE <input checked="" type="checkbox"/> \$2,000 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000	
NATURE OF INVESTMENT <input checked="" type="checkbox"/> Stock <input type="checkbox"/> Other _____ (Describe)	
IF APPLICABLE, LIST DATE: <u>2, 16, 06</u> <u> / / 06</u> ACQUIRED DISPOSED	

> NAME OF BUSINESS ENTITY <u>Sabine Royalty Trust</u>	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY <u>Diversified Investments</u>	
FAIR MARKET VALUE <input checked="" type="checkbox"/> \$2,000 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000	
NATURE OF INVESTMENT <input checked="" type="checkbox"/> Stock <input type="checkbox"/> Other _____ (Describe)	
IF APPLICABLE, LIST DATE: <u>1, 11, 06</u> <u> / / 06</u> ACQUIRED DISPOSED	

> NAME OF BUSINESS ENTITY <u>WCI Communities Inc.</u>	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY <u>Home Builder</u>	
FAIR MARKET VALUE <input checked="" type="checkbox"/> \$2,000 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000	
NATURE OF INVESTMENT <input checked="" type="checkbox"/> Stock <input type="checkbox"/> Other _____ (Describe)	
IF APPLICABLE, LIST DATE: <u>2, 9, 06</u> <u> / / 06</u> ACQUIRED DISPOSED	

Comments: _____

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**
FAIR POLITICAL PRACTICES COMMISSION

Name

Joan Borucki

> NAME OF BUSINESS ENTITY
Komag Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Data Storage

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
11/06/06 2/16/07
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Seabridge Gold

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Mining

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
5/25/06 1/29/07
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Polymet Mining Corp

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Mining

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
9/5/06 1/16/07
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Sangamo Biosciences Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Biotechnology

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
12/1/06 2/12/07
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Pain Therapeutics

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Pharmaceutical

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
12/18/06 2/20/07
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Sonicwall Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Internet Software

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
5/25/06 2/12/07
 ACQUIRED DISPOSED

Comments:

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION Name <u>Joan Borucki</u>

> NAME OF BUSINESS ENTITY
Nanophase Technology

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Industrial Metals & Minerals

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
2/16/06 / /06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Orleans Home Builders Inc

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Home Builder

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
2/21/06 / /06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Nevsun Resources LTD

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Mining

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
1/29/06 / /06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Cambridge Display Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Electronic Displays

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
11/17/05 / /06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Novartis

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Pharmaceuticals

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
2/16/06 / /06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Pogo Producing Co.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Oil & Gas Exploration

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
1/11/06 / /06
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1
Investments
Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION Name <u>Joan Borucki</u>

> NAME OF BUSINESS ENTITY <u>Chicos Fas Inc.</u>	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY <u>Apparel Retailer</u>	
FAIR MARKET VALUE <input checked="" type="checkbox"/> \$2,000 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000	
NATURE OF INVESTMENT <input checked="" type="checkbox"/> Stock <input type="checkbox"/> Other _____ (Describe)	
IF APPLICABLE, LIST DATE: <u>1/17/06</u> <u> / /06</u> ACQUIRED DISPOSED	

> NAME OF BUSINESS ENTITY <u>Walt Disney Co.</u>	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY <u>Diversified Entertainment</u>	
FAIR MARKET VALUE <input checked="" type="checkbox"/> \$2,000 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000	
NATURE OF INVESTMENT <input checked="" type="checkbox"/> Stock <input type="checkbox"/> Other _____ (Describe)	
IF APPLICABLE, LIST DATE: <u>8/15/05</u> <u> / /06</u> ACQUIRED DISPOSED	

> NAME OF BUSINESS ENTITY <u>Celebris Holding Inc.</u>	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY <u>Soft Drink Beverages</u>	
FAIR MARKET VALUE <input checked="" type="checkbox"/> \$2,000 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000	
NATURE OF INVESTMENT <input checked="" type="checkbox"/> Stock <input type="checkbox"/> Other _____ (Describe)	
IF APPLICABLE, LIST DATE: <u>2/14/06</u> <u> / /06</u> ACQUIRED DISPOSED	

> NAME OF BUSINESS ENTITY <u>Gabelli Dividend & Income Trust</u>	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY <u>Investment Management</u>	
FAIR MARKET VALUE <input checked="" type="checkbox"/> \$2,000 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000	
NATURE OF INVESTMENT <input checked="" type="checkbox"/> Stock <input type="checkbox"/> Other _____ (Describe)	
IF APPLICABLE, LIST DATE: <u>2/8/06</u> <u> / /06</u> ACQUIRED DISPOSED	

> NAME OF BUSINESS ENTITY <u>Convergys Corp.</u>	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY <u>Business Software</u>	
FAIR MARKET VALUE <input checked="" type="checkbox"/> \$2,000 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000	
NATURE OF INVESTMENT <input checked="" type="checkbox"/> Stock <input type="checkbox"/> Other _____ (Describe)	
IF APPLICABLE, LIST DATE: <u>2/20/06</u> <u>2/20/06</u> ACQUIRED DISPOSED	

> NAME OF BUSINESS ENTITY <u>Grey Wolf Inc.</u>	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY <u>Oil & Gas Exploration</u>	
FAIR MARKET VALUE <input checked="" type="checkbox"/> \$2,000 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000	
NATURE OF INVESTMENT <input checked="" type="checkbox"/> Stock <input type="checkbox"/> Other _____ (Describe)	
IF APPLICABLE, LIST DATE: <u>1/05/06</u> <u> / /06</u> ACQUIRED DISPOSED	

Comments: _____

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION Name <u>Joan Borucki</u>

NAME OF BUSINESS ENTITY Westshore Terminals

GENERAL DESCRIPTION OF BUSINESS ACTIVITY Coal Exporting

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
1/22/06 1/06
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY Allied Waste

GENERAL DESCRIPTION OF BUSINESS ACTIVITY Waste Management

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
6/5/06 6/14/06
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY Western Union

GENERAL DESCRIPTION OF BUSINESS ACTIVITY Money Services

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
1/22/06 1/06
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY American Medical Systems

GENERAL DESCRIPTION OF BUSINESS ACTIVITY Medical Appliances

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
8/4/06 10/6/06
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY XTO Energy

GENERAL DESCRIPTION OF BUSINESS ACTIVITY Oil & Gas Exploration

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
3/2/06 05 1/06
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY Amern Oriental Bioengr.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY Biotechnology

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
6/5/06 6/14/06
 ACQUIRED DISPOSED

Comments:

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**

FAIR POLITICAL PRACTICES COMMISSION

Name

Joan Borucki

NAME OF BUSINESS ENTITY
Autozone Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Auto Parts Retailer

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
3/27/06 5/25/06
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Dryships Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Shipping

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
8/4/06 10/16/06
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Conoco Phillips

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Oil Refining

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
1/06 5/25/06
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Etruscan Resources

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Mining

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
7/13/06 10/16/06
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Convera Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Multimedia & Graphics Software

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
1/06 2/10/06
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Intervoice Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Voice & Data Software

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
8/4/06 10/6/06
 ACQUIRED DISPOSED

Comments:

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION Name <u>Joan Borucki</u>

> NAME OF BUSINESS ENTITY
Korea Fund Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Investment Management

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
2/24/06 4/24/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Perma-Fix Environmental

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Waste Management

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
7/3/06 9/11/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Ninetowns Digital World

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Business Software

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
8/4/06 9/8/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Plum Creek Timber Co.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Timber Management

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
7/3/06 9/11/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Novamed Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Health Care Services

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
8/4/06 11/1/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Sirna Therapeutics

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Biotechnology

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
3/7/06 1/4/07
 ACQUIRED DISPOSED

Comments:

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION Name <u>Joan Borucki</u>

> NAME OF BUSINESS ENTITY
Somanetics Corp

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Medical Appliances

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
1/10/06 1/10/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Call Pride International

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Oil & Gas Exploration

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock
☒ Other Call option (Describe)

IF APPLICABLE, LIST DATE:
10/17/06 12/01/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Valence Tech Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Battery Mfg's

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
5/18/06 7/11/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Lone Star Tech. Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Oil & Gas Equipment & Services

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock
☒ Other Call option (Describe)

IF APPLICABLE, LIST DATE:
11/02/06 11/29/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
~~Choreprint Inc~~ Conoco Phillips

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Oil Refinery

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock
☒ Other Call option (Describe)

IF APPLICABLE, LIST DATE:
10/17/06 12/06/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Call Ashland Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Chemical Products & Services

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock
☒ Other Call option (Describe)

IF APPLICABLE, LIST DATE:
11/01/06 11/16/06
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**

FAIR POLITICAL PRACTICES COMMISSION

Name

Joan Borucki

> NAME OF BUSINESS ENTITY
Plum Creek Timber

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Property Management

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
3/4/06 11/06/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
USG Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Mining

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
9/14/06 10/17/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Novamed Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Health Care Services

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
3/4/06 11/01/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
RF Micro Devices

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Semiconductor & Integrated Circuits

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock
☒ Other Call Option (Describe)

IF APPLICABLE, LIST DATE:
8/14/06 9/15/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Massey Energy Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Industrial Metals & Minerals

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock
☒ Other Call Option (Describe)

IF APPLICABLE, LIST DATE:
10/10/06 10/27/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Archer Daniels Midland

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Agricultural Commodities

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock
☒ Other Put Option (Describe)

IF APPLICABLE, LIST DATE:
8/10/06 9/13/06
 ACQUIRED DISPOSED

Comments:

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION Name <u>Joan Borucki</u>

> NAME OF BUSINESS ENTITY
Intel Corp

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Semiconductor Chip Mfg's.

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock
☒ Other Call Option
(Describe)

IF APPLICABLE, LIST DATE:
8/8/06 8/16/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Nokia

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Communication Equipment.

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
1/1/06 6/7/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Ford Motor Co.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Auto Mfg'g

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock
☒ Other Call Option
(Describe)

IF APPLICABLE, LIST DATE:
7/20/06 8/16/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Amern Oriental Bioengr

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Biotechnology

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
6/5/06 6/14/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Sangamo Biosciences

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Biotechnology

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
5/31/06 7/11/06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Allied Waste

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Waste Management

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____
(Describe)

IF APPLICABLE, LIST DATE:
6/05/06 6/14/06
 ACQUIRED DISPOSED

Comments:

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

Joan Borucki

NAME OF BUSINESS ENTITY
Autozone Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Auto Parts Retailer

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
3/27/06 5/25/06
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Arlingam Pharmaceuticals

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Pharmaceuticals

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
3/7/06 7/11/06
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Valence Tech. Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Industrial Electrical Equipment

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
5/18/06 7/11/06
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Verizon Communications

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Telecommunication Services

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
2/21/06 5/25/06
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Korea Fund Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Investment Management

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
1/06 4/24/06
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Sigmatel

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Semiconductors

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
12/28/05 1/19/06
 ACQUIRED DISPOSED

Comments:

SCHEDULE A-1
Investments
Stocks, Bonds, and Other Interests
 (Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION Name <u>Joan Borucki</u>

> NAME OF BUSINESS ENTITY
Somaretics Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Medical Appliances

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 / / 06 / / 06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 / / 06 / / 06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY
Posco

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Steel & Iron Products

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock
☒ Other Call option (Describe)

IF APPLICABLE, LIST DATE:
10 / 16 / 06 2 / 16 / 06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 / / 06 / / 06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 / / 06 / / 06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 / / 06 / / 06
 ACQUIRED DISPOSED

Comments: _____

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

Name _____

▶ 1. INCOME RECEIVED

NAME OF SOURCE OF INCOME

Steve J Borucki

ADDRESS

8141 Ibanez Ct Sac 95829

BUSINESS ACTIVITY, IF ANY, OF SOURCE

Aerosol

YOUR BUSINESS POSITION

(Wife) Project Manager

GROSS INCOME RECEIVED

☐ \$500 - \$1,000 ☐ \$1,001 - \$10,000
☒ \$10,001 - \$100,000 ☐ OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED

☐ Salary ☒ Spouse's or registered domestic partner's income
☐ Loan repayment

☐ Sale of _____
(Property, car, boat, etc.)

☐ Commission or ☐ Rental Income, list each source of \$10,000 or more

☐ Other _____
(Describe)

NAME OF SOURCE OF INCOME

ADDRESS

BUSINESS ACTIVITY, IF ANY, OF SOURCE

YOUR BUSINESS POSITION

GROSS INCOME RECEIVED

☐ \$500 - \$1,000 ☐ \$1,001 - \$10,000
☐ \$10,001 - \$100,000 ☐ OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED

☐ Salary ☐ Spouse's or registered domestic partner's income
☐ Loan repayment

☐ Sale of _____
(Property, car, boat, etc.)

☐ Commission or ☐ Rental Income, list each source of \$10,000 or more

☐ Other _____
(Describe)

▶ 2. LOAN RECEIVED

* You are not required to report loans from commercial lending institutions, or any indebtedness created as part of a retail installment or credit card transaction, made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER*

ADDRESS

BUSINESS ACTIVITY, IF ANY, OF LENDER

HIGHEST BALANCE DURING REPORTING PERIOD

☐ \$500 - \$1,000

☐ \$1,001 - \$10,000

☐ \$10,001 - \$100,000

☐ OVER \$100,000

INTEREST RATE

TERM (Months/Years)

_____%. ☐ None

SECURITY FOR LOAN

☐ None ☐ Personal residence

☐ Real Property _____
Street address

Street address

City

☐ Guarantor _____

☐ Other _____
(Describe)

Comments: _____



FAIR POLITICAL PRACTICES COMMISSION

428 J Street • Suite 620 • Sacramento, CA 95814-2329

(916) 322-5660 • Fax (916) 322-0886

November 14, 2007

Joan Borucki
Director
Lottery Commission
600 North Tenth Street
Sacramento, California 95814

Re: Statement of Economic Interests
Type of Statement: Assuming Office
Date Filed: May 2, 2006

Dear Ms. Borucki:

The Fair Political Practices Commission received your statement of economic interests (Form 700). A review of your statement indicates that further information is required as explained on the attachment.

The enclosed amendment schedule should be completed within 30 days and returned to Ken Weidmann, Lottery Commission, who will retain a copy and forward the original to the Fair Political Practices Commission. Please retain a copy of the amendment schedule for your records to assist you in completing future statements of economic interests.

Please note that our review of your statement does not constitute an in depth audit and your compliance with this request for amendment information or correction does not relieve you of responsibility for the overall accuracy and completeness of your statement as required by law.

We are here to assist you. If you have any questions, please call me at (916) 322-3710.

Sincerely,

A handwritten signature in cursive script that reads "C Fisher".

Cynthia Fisher
Staff Services Analyst
Technical Assistance Division

cc: Ken Weidmann

Attachment for letter to: Joan Borucki

November 14, 2007

FPPC: 916.322.5660

We have listed below information in your Statement of Economic Interests form (Form 700) which may require correction or clarification:

On Schedule A-1-Investments-Stocks, Bonds and Other Interests, you reported an investment in Sigma Aldrich Corp. However, the fair market value and nature of investment of the investment was not reported.

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION AMENDMENT

> NAME OF BUSINESS ENTITY
Sigma Aldrich Corp

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
BioChemicals

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
9/27/06 / / 06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 / / 06 / / 06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 / / 06 / / 06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 / / 06 / / 06
 ACQUIRED DISPOSED

> NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock
☐ Other _____ (Describe)

IF APPLICABLE, LIST DATE:
 / / 06 / / 06
 ACQUIRED DISPOSED

Verification

Print Name Jean M Borucki

Office, Agency or Court Director Lottery

Statement Type ☐ 2006/2007 Annual ☒ Assuming ☐ Leaving
☐ (yr) Annual ☐ Candidate

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 11/16/07 (month, day, year)

Signature Jean M Borucki

Comments: